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I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to: Atty Docket No.: A5152D1-T39510 Client Ref. No. 005152 D1 TTC No. 016301-039510US

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

By Min L. Mr Beill

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CANFENG LAI et al.

Application No.: Unassigned

Filed: Herewith

For: MULTI-CORE TRANSFORMER

PLASMA SOURCE

Customer No. 20350

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. This application is a divisional application of and relies on U.S. Appl. No. 09/839,360, filed on April 20, 2001 (the "parent application") for an earlier effective filing date under 35 U.S.C. § 120. All of the references were submitted to or by the U.S. Patent and Trademark Office in the parent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

Application No.: Unassigned

Page 2

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP Telephone (303) 571-4000 Fax (303) 571-4321 PMB/nlm 60128172 v1

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket No.: A5	orney Docket No.: A5152D1/T39510 Application No.: Unassigned		o.: Unassigned	
			Applicant: CANFENG LAI et al.				
			Filing Date: Herewith		Group: Unassigned		
Reference Desig	gnation		U.S. PATENT DOCUMEN	ITS		Page 1	
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)	
AA	3,291,715	12/13/66	G.S. Anderson	204	298		
AB	4,086,506	04/1978	Kustom et al.	310	74		
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	Document No.	Date	Country	Class	Sub-class	Translation	

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket	No.: A5152D1/T39510	Application	No.: Unassigned
			Applicant: CANFENG LAI et al.			
		Filing Date: Herewith		Group: Unassigned		
						(Yes/No)
BD	WO 90/10945	09/20/90	WIPO	H01J	27/16	yes
BE	WO 99/00823	01/07/99	WIPO	H01J	37/32	yes
BF	JP 2-260399	10/23/90	Japan	Н05Н	1/46	yes (abstract only)
BG	JP 5-166595	07/02/93	Japan	Н05Н	1/46	yes (abstract only)
BH	JP 5-144594	06/11/93	Japan	Н05Н	1/46	yes (abstract only)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR		Attorney Docket No.: A5152D1/T39510	Application No.: Unassigned			
APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: CANFENG LAI et al.				
		Filing Date: Herewith	Group: Unassigned			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
BI	S.O. Dean et al., "Status and Objectives of Tokamak Systems for Fusion Research," USERDA Report WASH-1295, (1974) (available from the Superintendent of Documents, U.S. Government Printing Office) pp. i-106.					
BJ	H.P. Furth, "Tokamak Research," Nuclear Fusion, 15, pp. 487-534 (1975).					
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BL	M.O. Hagler et al., "An Introduction to Controlled Thermonuclear Fusion," Library of Congress Cataloging in Publication Data, pp. 59-61 and 178 (1977).					
BM	E. Kändler et al., "Characterization of Plasma in an Inductively Coupled High-Dense Plasma Source," Surface and Coatings Technology, 74-75, pp. 539-545 (1995).					
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1						
EXAMINER		DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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